Docket No.: 04117/000K528-US0

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Mark A. NORDLICHT et al.

Application No.: 09/653,102

Filed: August 31, 2000 Art Unit: 3693

For: SYSTEM AND METHOD FOR REAL-TIME

OPTIONS TRADING OVER A COMPUTER

NETWORK

Examiner: Richard C. Weisberger

Confirmation No.: 4063

RESPONSE TO COMMUNICATION UNDER 37 C.F. R. § 1.105 AND SUPPLEMENTAL AMENDMENT

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Communication mailed January 26, 2007, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.